Docket No.

216432US0X DIV

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kokichi KIKUCHI, et al.

SERIAL NO: 10/038,899

FILED: January 8, 2002 EXAMINER: Kaushal, S.

FOR: PEPTIDE CAPABLE OF INDUCING IMMUNE RESPONSE TO HUMAN GASTRIC CANCER AND AGENT

FOR PREVENTING OR TREATING HUMAN GASTRIC CANCER, CONTAINING THE PEPTIDE

GAU:

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

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#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## **RELATED CASES**

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present
application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s)
is attached along with PTO 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### **CERTIFICATION**

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Vincent K. Shier, Ph.D. Registration No. 50,552

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MAY 1 7 2004 ATTY DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1449 (Modified) 216432US0X DIV 10/038,899 **APPLICANT** LIST OF REFERENCES CITED, BY APPLICANT Kokichi KIKUCHI, et al. **FILING DATE GROUP** 1636 January 8, 2002 **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT SUB **FILING DATE CLASS** DATE NAME **CLASS** IF APPROPRIATE INITIAL NUMBER AA AB AC AD AE AF AG AH ΑI AJ AK AL **AM** AN **FOREIGN PATENT DOCUMENTS DOCUMENT TRANSLATION** DATE COUNTRY **NUMBER** YES NO AO AP AQ AR AS AT OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) S. A. ROSENBERG, M.D., Ph.D., et al., "USE OF TUMOR-INFILTRATING LYMPHOCYTES AND INTERLEUKIN-2 IN THE IMMUNOTHERAPY OF PATIENTS WITH METASTATIC MELANOMA", The New England Journal of Medicine, Vol. 319, AU No. 25, Dec. 22, 1988, pp. 1676-1680
U. TOH, et al., "LOCOREGIONAL CELLULAR IMMUNOTHERAPY FOR PATIENTS WITH ADVANCED ESOPHAGEAL ΑV CANCER", Clinical Cancer Research, Vol. 6, Dec. 2000, pp. 4663-4673 M. DUDLEY, et al., "CANCER REGRESSION AND AUTOIMMUNITY IN PATIENTS AFTER CLONAL REPOPULATION **AW** WITH ANTITUMOR LYMPHOCYTES", SCIENCE, Vol. 298, Oct. 25, 2002, pp. 850-854 Y. WADA, et al., "IN VITRO PROLIFERATION AND THE CYTOTOXIC SPECIFICITY OF A CRYOPRESERVED CYTOTOXIC T CELL CLONE REACTING AGAINST HUMAN AUTOLOGOUS TUMOR CELLS", Journal of Immunological AX Methods, 154, (1992), pp. 235-243 Y. WADA, et al., "BREFELDIN A BLOCKS THE CYTOTOXICITY OF T CELL RECEPTOR α/β AND γ/δ CYTOTOXIC T AY LYMPHOCYTE CLONES REACTING AGAINST HUMAN AUTOLOGOUS CANCER CELLS", Jpn. J. Cancer Res., 84, August 1993, pp. 906-913 T. YASOSHIMA, M.D., et al., "THE MECHANISM OF HUMAN AUTOLOGOUS GASTRIC SIGNET RING CELL TUMOR REJECTION BY Additional References sheet(s) attached CYTOTOXIC T LYMPHOCYTES IN THE POSSIBLE CONTEXT OF HLA-A31 ΑZ IN MOLECULE", Cancer Supplement, Vol. 75, No. 6, March 15, 1995, pp. 1484-1489 **Date Considered** Examiner \*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.